# Engineering Notes

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# Spacecraft Planetary Capture Using Gravity-Assist Maneuvers

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## Nomenclature

 $\hat{\mathbf{B}}$  = B-plane unit vector  $\hat{\mathbf{h}}$  = orbit-plane unit vector  $R_s$  = radius of Saturn, = 60,330 km

 $r_p$  = radius of close approach at the natural satellite

 $\hat{S}$ ;  $\hat{T}$ ;  $\hat{R}$  = B-plane axes unit vectors

 $V_M$  = velocity vector of the natural satellite

 $V_1$  = spacecraft arrival vector at the target moon, with

respect to the target planet

 $V_2$  = spacecraft departure vector at the target moon, with

respect to the target planet

 $V_{\infty}^{+}$  = departure hyperbolic excess velocity with respect to

the natural satellite

 $V_{\infty}^{-}$  = arrival hyperbolic excess velocity with respect to the

natural satellite

 $\delta$  = trajectory deflection angle

 $\theta$  = angle between unit vectors  $\hat{\boldsymbol{B}}$  and  $\hat{\boldsymbol{T}}$ 

 $\mu_M$  = gravitational parameter of the natural satellite

# Introduction

R ECENTLY, several authors have examined the use of combining a series of complex gravity-assist maneuvers to aid high-and low-thrust orbit transfer within the Jovian system. <sup>1-4</sup> Moreover, it has been long established that Earth escape trajectories can benefit from a lunar gravity assist. <sup>3.5</sup> It has been suggested that such a maneuver might not be avoidable for optimal low-thrust escape spirals, which can spend a long time in the region of space where lunar influence is strongest, <sup>3</sup> as failure to correctly use the lunar gravity can result in recapture. This Note examines and fully quantifies the benefit of using planetary satellites to perform a capture maneuver without the use of propulsion. Such a scenario has been suggested

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for future analysis within low-thrust Jupiter mission profiles<sup>3</sup> and noted as an option for chemical propulsion missions to Jupiter.<sup>6</sup>

If the arrival speed at a target body can be increased from the very low hyperbolic excesses required to perform a low-thrust capture maneuver, then potentially significant savings can be made in the heliocentric mission duration if a bound orbit about the target planet can be maintained. We define a bound orbit as having an apoapsis that is positive but less than infinity; however, because this is not a practical limit and is instead a theoretical limit we examine the impact of reducing the target apoapsis to more realistic and useful values within specific case studies. Furthermore, an increase in arrival velocity can be expected to yield a benefit in mission launch mass.

#### **Gravity-Assist Model**

A three-dimensional patched-conic B-plane gravity-assist model is adopted, <sup>7</sup> allowing rapid assessment of the potential performance benefit within each scenario studied. The natural satellite being utilized for a gravity assist is assumed to move along a circular orbit about the planet, while the inclination of the moon is assumed zero relative to the plane containing the planet and the spacecraft arrival velocity vector. Assuming a circular orbit is a reasonable simplification as most natural satellites have low eccentricity. Similarly, as long as we are not targeting a specific orbit plane the inclination of the moon can be neglected in this initial analysis. The moon and planet are both assumed to be point masses, while the spacecraft is assumed to be of negligible mass within the three-body system. The spacecraft arrival velocity vector orientation at the target moon is refined through an iterative process, thus maximizing the planetary arrival hyperbolic excess velocity, while aiming for a specified periapsis in a bound planetary orbit. A given velocity vector orientation at the target moon will result in a range of periapsis at the target planet depending on the magnitude of the velocity vector. Hence, variation of the magnitude of the velocity vector requires that we also refine the orientation of the velocity vector to maintain a constant periapsis. We found the results had a negligible variation once the orientation of the velocity vector iterations was reduced below 0.1 deg and the magnitude varied in steps of 5 ms<sup>-1</sup>; thus, the error range in the results is  $\pm 2.5$  ms<sup>-1</sup>. The iterative refinement of the arrival velocity vector was found to be a simple search space with only a single turning point present.

Following Ref. 7 and Fig. 1, the arrival hyperbolic excess velocity with respect to the natural satellite is defined in Eq. (1) as

$$V_{\infty}^{-} = V_1 - V_M \tag{1}$$

where the trajectory deflection angle is found in Eq. (2) as

$$\delta = 2\arcsin\left[\frac{1}{1 + \left(r_p|V_{\infty}^-|^2/\mu_M\right)}\right]$$
 (2)

The hyperbolic excess velocity with respect to the natural satellite after the gravity assist is defined in Eq. (3) as

$$V_{\infty}^{+} = V_{\infty}^{-} [\hat{\mathbf{S}} \quad \hat{\mathbf{T}} \quad \hat{\mathbf{R}}] \begin{bmatrix} \cos \delta \\ -\sin \delta \cos \theta \\ -\sin \delta \sin \theta \end{bmatrix}$$
(3)

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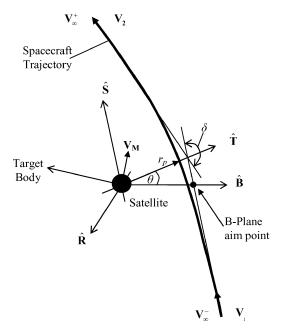


Fig. 1 Gravity-assist model schematic.

where the axes are defined in Eqs. (4-6) as

$$\hat{\mathbf{S}} = \mathbf{V}_{\infty}^{-}/|\mathbf{V}_{\infty}^{-}|\tag{4}$$

$$\hat{T} = (\hat{S} \times \hat{k})/|\hat{S} \times \hat{k}| \tag{5}$$

$$\hat{\mathbf{R}} = \hat{\mathbf{S}} \times \hat{\mathbf{T}} \tag{6}$$

with  $\hat{k} = [0 \ 0 \ 1]$ , a unit vector normal to the arrival plane of the spacecraft. The final spacecraft departure vector from the moon with respect to the target planet is defined as

$$V_2 = V_M + V_\infty^+ \tag{7}$$

Furthermore, the B-plane vector is defined as

$$\hat{\mathbf{B}} = \hat{\mathbf{S}} \times \hat{\mathbf{h}} \tag{8}$$

such that  $\theta$  is defined as

$$\theta = \arccos(\hat{\boldsymbol{T}} \cdot \hat{\boldsymbol{B}}) \tag{9}$$

The definition of the hyperbolic excess velocity with respect to the natural satellite after the gravity assist is enabled in Eq. (3), enabling the maximum planetary arrival hyperbolic excess velocity to be determined through refinement of  $V_1$ , as discussed earlier.

Because of the nature of the study problem, we anticipated that a three-body gravity-assist model might produce quantitative differences from the three-dimensional patched conic B-plane gravity-assist model used in this Note. This Note is intended as an assessment of the generalized problem, and an actual mission analysis would require a high-definition model. We recreate a lunar gravity-assist Earth escape trajectory for model comparison with prior published work. We note from Ref. 5 that a lunar gravity assist can increase  $C_3$  from -2.0 to +4.8 km² s<sup>-2</sup>; however, no close approach altitude is given for this energy boost. Using the patched-conic model, a 300-km lunar close approach exactly matched this performance level. Although this is a low-altitude pass, recall that the Galileo spacecraft performed a 305-km close approach of Earth and as such is feasible. We also found that a close-approach altitude of 2500 km recreated the lunar gravity assist in Ref. 3.

# **Maximizing Planetary Hyperbolic Excess Velocity**

The application of a gravity-assist capture maneuver is limited to planets with natural satellites of significant mass, greater than approximately  $50 \times 10^{+21}$  kg. For example, we found that a Phobos (mass  $10.6 \times 10^{+15}$  kg) or Deimos (mass  $2.4 \times 10^{+15}$  kg) gravity assist had negligible impact on Martian capture. We thus restrict analysis to Jupiter, Saturn, and Earth. All four Galilean satellites at Jupiter can be considered for potential capture maneuvers. However, inside the orbit of Ganymede spacecraft will encounter a severe radiation environment so that we limit analysis to Callisto and Ganymede, with target periapsis radius of 1.7 million and 0.9 million km, respectively. The target periapsis are set just below the target moon; however, we will also investigate the effect of varying the target periapsis. Saturn has only one sufficiently large natural satellite, Titan, and as such we limit analysis at Earth and Saturn to a single satellite. At Saturn we target a periapsis radius of 1 million km, whereas at Earth we target a periapsis radius of 50,000 km. At Earth we also consider targeting a periapsis radius of 6500 km, the top of the atmosphere, altitude 122 km (Ref. 8), to quantify if a lunar gravity assist for a sample return mission can attain any reduction in Earth atmospheric entry speed. The aim of this secondary scenario at Earth is not to place a probe or spacecraft into Earth orbit but instead to reduce the thermal loads on Earth atmospheric entry probes, which are required by planetary protection rules to reenter the atmosphere without first entering Earth orbit.

Refining  $V_1$  as discussed earlier, we can maximize the planetary hyperbolic excess velocity over a range of close-approach altitudes. Figure 2 shows the maximum hyperbolic excess velocity for a range of close-approach altitudes and natural satellite/planet systems. We see that a Ganymede-aided capture at Jupiter potentially allows a hyperbolic excess velocity of over 4 kms<sup>-1</sup> to be absorbed by the gravity assist, while providing a bound Jupiter orbit with a periapsis radius of 900,000 km. In Fig. 2, the curves represent the absolute maximum hyperbolic excess velocity for a given gravity-assist altitude, with capture into a near-parabolic orbit; thus, to generate a useful orbit we must be below the curves seen in Fig. 2. For example, from Fig. 2, a 100-km Ganymede gravity assist has a maximum hyperbolic excess velocity of 4240 ms<sup>-1</sup>; however, it was found that we must reduce this to 2630 ms<sup>-1</sup> in order to target an apoapsis radius of 20 million km, from where we can use Ganymede and Europa gravity assists to target Europa orbit insertion in approximately 550 days (Ref. 6).

A low-thrust Jupiter mission need not target a direct Jupiter rendezvous or even a particularly low arrival speed. Instead a 300-km Ganymede gravity assist can be used to place the spacecraft onto a relatively low-radiation bound Jovian orbit from an arrival hyperbolic excess velocity of just over 4 kms<sup>-1</sup>. A Callisto gravity assist provides for a lower hyperbolic excess velocity and typically places the spacecraft onto a less advantageous orbit for initiation of the Jovian tour. For reference, a single data point is provided for Europa and Io capture maneuvers. We see that Europa is the least advantageous of all four Galileo satellites and that Io provides a similar level of performance to Ganymede, at the expense of a much harsher radiation environment.

To maximize the hyperbolic excess velocity at a target body, we must minimize the gravity-assist altitude, refine the gravity-assist arrival velocity vector as discussed earlier, and carefully select the target periapsis. Figure 3 shows the effect on maximum hyperbolic excess velocity as the target periapsis is varied after a 300-km Ganymede gravity assist. We find that the most favorable periapsis radius occurs near 0.9 million km, just below the mean orbit radius of Ganymede.

From Fig. 2, a Titan gravity assist can dissipate an arrival excess velocity of up to 3.5 kms<sup>-1</sup> at Saturn. We recreate the Cassini–Huygens transfer trajectory to determine the arrival hyperbolic excess velocity at the Saturnian system as approximately 5260 ms<sup>-1</sup>, where the target periapsis radius is 1.3  $R_s$  or 78,429 km. Saturn orbit insertion is by a 95-min bipropellant burn, providing a capture  $\Delta V$  of approximately 572 ms<sup>-1</sup>. We can recreate the Cassini–Huygens capture by modeling a Titan gravity-assist-aided capture, allowing a comparison of a direct capture and a gravity-assist capture. From

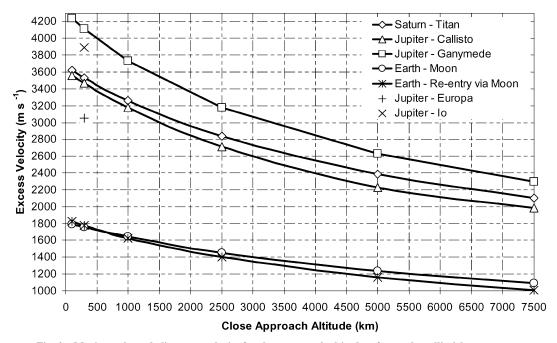


Fig. 2 Maximum hyperbolic excess velocity for close-approach altitudes of several satellite/planet systems.

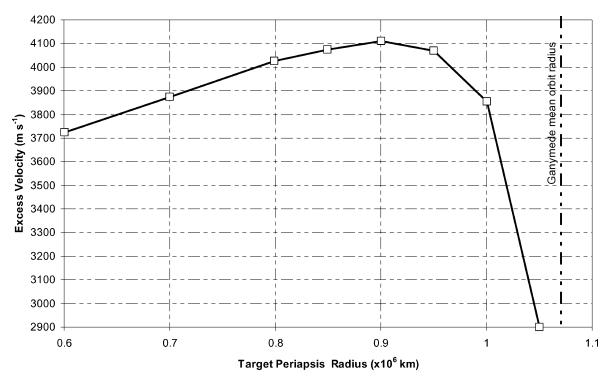


Fig. 3 Hyperbolic excess velocity against target periapsis radius, for a 300-km Ganymede gravity assist.

Fig. 3, the maximum  $\Delta V$  from the gravity assist is attained for a target periapsis just below the mean orbit radius of the moon; however, the minimum capture  $\Delta V$  for missions of hyperbolic excess velocity above the contours shown in Fig. 2 is for a low periapsis radius. Using a 300-km Titan gravity assist, we target a periapsis radius of 78,429 km and an orbit period of 119 days. The Titan gravity assist reduces the capture burn at periapsis to 467 ms<sup>-1</sup>, a reduction from a direct insertion burn of 105 ms<sup>-1</sup>. Recalling that the maximum gravity assist  $\Delta V$  occurs at a target periapsis just below the mean orbit radius of the moon, we repeat the analysis for a target periapsis radius of  $1 \times 10^6$  km. The use of a high periapsis means we must aim for a much higher apoapsis, giving an initial orbit period of 400 days, significantly greater than that of Cassini—

Huygens. The Titan gravity assist  $\Delta V$  is increased; however, we find that the capture  $\Delta V$  has increased to 1152 ms<sup>-1</sup>. Thus, despite the increased gravity assist  $\Delta V$  we now require a significantly larger Saturn insertion burn than performed by the Cassini main engine. Assuming the Cassini–Huygens insertion mass to Saturn as approximately 3400 kg and estimating an  $I_{\rm sp}$  of 300 s for the Cassini main engine, we can determine that a direct insertion burn requires 730 kg of fuel, whereas a Titan gravity-assist-aided capture requires 585 kg, neglecting gravity losses in both calculations. We estimate that the heliocentric tour of Cassini–Huygens has a  $\Delta V$  requirement of approximately 750 ms<sup>-1</sup> with margin; thus, we estimate a launch mass saving over the actual flown mission of order 200 kg, or approximately 3–4%.

Repeating the Cassini-Huygens analysis for a typical chemical propulsion Jupiter mission with arrival hyperbolic excess velocity 5.5 kms<sup>-1</sup>, we trade a Ganymede capture vs an Io capture. Once again fixing the gravity-assist altitude at 300 km, we initially target a periapsis radius of two Jovian radii and an orbit period of just over 200 days. The direct hyperbolic insertion burn is 507 ms<sup>-1</sup>. We find that a Ganymede-aided capture reduces this to 406 ms<sup>-1</sup>, whereas an Io-aided capture reduces the insertion burn to 398 ms<sup>-1</sup>. Thus, despite the reduced gravity assist  $\Delta V$  from Io vs Ganymede, 186 vs 266 ms<sup>-1</sup>, respectively, the much lower orbit radius of Io means that the target periapsis is closer to the most favorable post-gravityassist orbit value, and hence the Jupiter insertion burn is marginally reduced. Targeting a low Jovian radius allows for a minimum  $\Delta V$ insertion burn; however, it also requires the spacecraft to transit the harsh radiation belts at Jupiter. We can thus consider Jupiter insertion to an orbit outside the peak radiation environment, with periapsis radius  $0.9 \times 10^6$  km. Direct insertion from a hyperbolic orbit requires a  $\Delta V$  of 1243 ms<sup>-1</sup>, for an apoapsis of  $20 \times 10^6$  km, whereas a Ganymede-aided capture requires an insertion burn of 790 ms<sup>-1</sup>. Assuming a bipropellant  $I_{sp}$  of 300 s and an insertion mass of 3000 kg, we can reduce the insertion propellant mass to a low Jovian radius orbit by 130 kg, from 565 kg down to 434 kg of bipropellant. Furthermore, we can reduce the insertion propellant mass to a low radiation orbit from 1578 kg down to 925 kg of bipropellant, a saving of over 650 kg, which would provide a launch mass saving of approximately 15%.

We see from Fig. 2 that at Earth only relatively small arrival speeds can be absorbed by a lunar gravity assist. However, we note that an optimal Mars or Venus return trajectory will have an excess velocity of approximately 3000 ms<sup>-1</sup>, and as such a significant quantity of this excess could be removed through a low lunar gravity assist. Figure 2 shows the hyperbolic velocity that can be absorbed by a lunar gravity assist for entry into either a high periapsis orbit or an atmospheric entry orbit. Little variation was found for either target periapsis. Using the data in Fig. 2, we find that Earth reentry velocity is reduced by 150 ms<sup>-1</sup>, for a 100-km lunar gravity assist. Such a reduction in Earth reentry velocity would have minimal impact on thermal protection system mass. However, a similar approach might prove useful for an atmospheric probe at Jupiter or Saturn through a gravity assist of their much larger moons.

## **Conclusions**

It has been shown that a transfer trajectory to Jupiter can have a hyperbolic excess of 4 kms<sup>-1</sup> and capture into a Jovian orbit without the use of propulsion through a Ganymede gravity assist. Similarly, at Saturn the arrival velocity need be no lower than 3.5 kms<sup>-1</sup>

through the use of a Titan gravity assist. By increasing the hyperbolic excess velocity, we are able to reduce the heliocentric mission duration, particularly for low-thrust propulsion. We also find that the target orbit post gravity assist must be carefully selected as it can have a significant impact on the maximum hyperbolic excess velocity and insertion burn requirements.

It has been shown that a mass saving of 100–200 kg can be made to orbit insertion propellant mass at Saturn and Jupiter for conventional high-thrust missions such as Cassini–Huygens and Galileo. The reduction in orbit insertion mass has a significant impact on launch mass, especially for missions with high  $\Delta V$  requirements during the heliocentric tour as a result of deep-space manoeuvres. It was demonstrated that the Cassini–Huygens launch mass could be reduced by 3–4% and that orbit insertion propellant mass to a low radiation Jovian orbit can be reduced by over 650 kg, providing a launch mass saving of approximately 15%.

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